Social Determinants of Health: From Association to Intervention

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VERITY Course

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Health Equity

- "Equity is the absence of unfair, avoidable or remediable differences among groups of people, whether those groups are defined socially, economically, demographically or geographically or by other dimensions of inequality (e.g., sex, gender, ethnicity, disability or sexual orientation)."
- "Health equity is achieved when everyone can attain their full potential for health and well-being."



https://www.who.int/health-topics/health-equity#tab=tab_1 https://www.aafp.org/news/health-of-the-public/health-equity-framework.html

Patient JR

- 32 y/o Hispanic woman with h/o SLE (ANA+, dsDNA+, Sm+, hypocomplementemia) and RA (+ACCP, +RF) overlap, with severe joint pain, progressive erosive disease in hands and feet, and proteinuria
- Treated with glucocorticoids and hydroxychloroquine intermittently; prior medications included methotrexate, azathioprine, abatacept, infliximab
- Adherence to medications and outpatient appointments highly variable
- ED visits at least once/month for pain management and infections
- SLE/RA management complicated by unstable living situation, financial insecurity, substance use disorder, new Hepatitis C infection, severe depression, anxiety, prior trauma exposures, and PTSD
- Medication choice limited by recurrent infections, inconsistent follow-up for lab monitoring, and ambivalence regarding pregnancy
- Became pregnant and entered a substance use disorder program, delivered a healthy baby
- 6 weeks post-partum, found unresponsive and died on arrival to the BWH ED

Outline

- To discuss multilevel drivers of racial, ethnic and socioeconomic inequities in rheumatic disease incidence, care utilization and outcomes
- To present strategies that may help address aspects of these inequities among individuals with rheumatic conditions

Drivers of Inequities: Structures

- Societal structures including structural racism and income inequality that are perpetuated through governmental policies and institutional processes
- Upstream driver of inequities in social determinants of health



Jones, CP. Med Care 2014 Oct;52 (10 Suppl 3): S71-5 Jones CP et al. J Health Care Poor and Underserved 20 (2009):1-12 Bailey ZD et al. Lancet. 2017;389(10077):1453–63 Bailey ZD et al. N Engl J Med. 2021; 384(8) 768-73 Hardeman RR et al. Health Aff (Millwood). 2022;41(2):179-86 Dyer Z. et al. Health Aff (Millwood). 2023; 42 (10)

Historical Redlining

- U.S. federal policy from the 1930s
- Home Owners' Loan Corporation (HOLC) created color-coded residential security maps to revive the housing market
- Assessed the risk of investment and encouraged lending in predominantly white, affluent area; discouraged it in neighborhoods considered to be "inner city", with high proportions of Black individuals and immigrants
- Among 5,597 adults with <u>></u>2 ICD-10 codes for rheumatic conditions receiving rheumatology care in the past 3 years across MGB, 23% live in the most historically redlined areas (HOLC D)



Yang, S et al. Arthritis Rheumatol.2023;75 (suppl 9) [ACR Abstract]. Manuscript under review. Rothstein R. The Color of Law: A Forgotten History of How Our Government Segregated America. 2017.

Present-Day Racialized Economic Segregation

- Index at the Concentration at the Extremes (ICE) measures "the extent to which the population in an area is concentrated into relative extremes of advantage (Q5) and deprivation (Q1)"
- ICE for racialized economic segregation
 - Q1= highest concentration of Black, low income
 - Q5= highest concentration of Non-Hispanic White, high income
- 32% of the individuals with rheumatic conditions lived in areas with the most concentrated present-day racialized economic deprivation (ICE Q1); 96% were in areas that were historically deemed "hazardous" (HOLC grade D) or "declining" (HOLC grade C)
- Areas with concentrated deprivation experience inadequate distribution of resources and infrastructure, fewer opportunities, adverse environmental exposures and poorer healthcare access



Yang, S et al. Arthritis Rheumatol.2023;75 (suppl 9) [ACR Abstract]. Manuscript under review. Sonderlund et al. PLOS One 2022.; Krieger et al. Health and Place 2015; Swope et al. J Urban Health 2022. Dyer et al. Health Affairs 2023

Structural Racism and Care Fragmentation

- Studied associations between historical and present-day manifestations of structural racism on fragmented care, a key contributor to inequities in care receipt and outcomes, among these 5,597 individuals with rheumatic conditions
- Multilevel models were adjusted for demographic factors, insurance status, rheumatic condition and comorbidities
 - Individuals in historically redlined areas had 1.79 times greater odds (95% CI 1.22-2.63) of <u>></u>4 missed appointments and 2.72 times greater odds (95% CI 1.50-4.94) of <u>></u>3 emergency department (ED) visits (vs. HOLC A)
 - Individuals in areas with highly concentrated racial and economic deprivation had 2.14 times greater odds (95% CI 1.67-2.75) of <u>></u>4 missed appointments and 3.02 times greater odds (95% CI 2.06-4.42) of <u>></u>3 ED visits (vs. areas with highly concentrated privilege)
- Strength of these associations highlights the importance of considering structural factors when designing interventions to address care fragmentation

Drivers of Inequities: Exposures

 Differences in exposures to stressors from life conditions, social determinants of health, trauma, adverse environmental factors across the life course



Jones, CP. Med Care 2014 Oct;52 (10 Suppl 3): S71-5 Jones CP et al. J Health Care Poor and Underserved 20 (2009):1-12

Social Determinants of Health

- "The conditions in the environments where people are born, live, learn, work, play, worship and age that affect a wide range of health, functioning and quality-of-life outcomes and risks." ~Healthy People 2023
- In RISE, among individuals with RA, faster declines in function and overall poorer functional status observed in patients living in zip codes with more deprivation
- In NHANES data, 30% of individuals with RA had some degree of food insecurity, which was associated with higher odds of depression
- In a large SLE study, living in poverty was associated with greater disease damage and moving out of poverty with less damage accrual

Izadi et al. JAMA Netw Open 2021 Cai et al. BMC Rheumatol 2022 Yelin at al Arthritis Rheumatol 2017



Exposures to adversity and inflammation



https://www.cdc.gov/publichealthgateway/sdoh/index.html

SDoH among MGB Rheumatology Patients

- 45% of individuals (N=558) had documentation in EHR notes (by social work, care coordination, nurses, physicians) of <a>1 SDoH need
 - 171 (31%) had evidence of financial insecurity
 - 105 (19%) transportation needs
 - 94 (17%) food insecurity
- Only one rheumatologist's note included description of these needs
- Only 26 (5%) had <a>1 ICD-10 billing code
 - Most frequent was Z59.9 ("problem related to housing and economic circumstances, unspecified")
 - Z code implementation started in 2016; no increase in use per year since then (under 5% each year, peaked at 3.4% in 2019)
- In multivariable logistic regression models, Black (v. White) race and public or no insurance (v. Commercial) were associated with significantly higher odds of SDoH needs
- Findings informed a natural language processing algorithm to identify financial insecurity

Z code	Description
Z55	Problems related to education and literacy
Z56	Problems related to employment and unemployment
Z57	Occupational exposure to risk factors
Z59	Problems related to housing and economic circumstances
Z60	Problems related to social environment
Z62	Problems related to upbringing
Z63	Other problems related to primary support group, including family circumstances
Z64	Problems related to certain psychosocial circumstances
Z65	Problems related to other psychosocial circumstances

Ulysse S et al. Arthritis Care Res 2023 Chandler et al. ACR Open Rheumatology 2024 (Accepted)

Poverty and Financial Insecurity

- Longitudinal study by Yelin et al. of 783 individuals with SLE followed from 2003-2015 and each year, categorized by whether they had a household income <125% of the US federal poverty level
- After adjusting for sociodemographics, behaviors and healthcare features, living in poverty was associated with higher disease damage
- Individuals who exited poverty had similar increases in damage compared to those never in poverty and significantly less than those who remained in poverty
- Recently published cross-sectional study in a CA-based SLE cohort (N=252), demonstrated strong associations between economic insecurities and patient reported outcomes
- Stronger and more consistent associations seen with nuanced measures of financial insecurity (e.g., concerns about affording SLE care and about future financial hardship) than with income-based poverty measures or educational attainment

Social and Heat Vulnerability

- Neighborhood and environmental exposures are key SDoH
- Extreme heat events are among the most dangerous results of climate change with respect to morbidity and mortality
- Individuals living in neighborhoods made vulnerable from structural racism are disproportionately impacted and the consequences are the most severe
- Aimed to investigate the associations between area-level exposure to social and heat vulnerability and recurrent hospitalizations among individuals receiving rheumatology care at MGB in MA

Social Vulnerability Index (SVI)

- Social Vulnerability Index (SVI) CDC/ASTDR (CDC, 2020; Flanagan, 2011)
 - 15 census variables
 - Census tract level
 - Developed to help identify communities that may need extra support around disasters



https://www.atsdr.cdc.gov/placeandhealth/svi/documentation/SVI_documentation_2018.html

Heat Vulnerability Index (HVI)

- Heat Vulnerability Index (HVI): Developed to identify areas where people may be most susceptible to the negative effects of extreme heat events
 - Metropolitan Area Planning Council, Metro Boston for local HVI
 - Census variables, land cover and surface temperature data
 - Census tract level

Exposure Land surface temperature, heat islands, housing units

Sensitivity

Age, occupations, health, housing/demographics

Adaptive Capacity

Information access, financial resources, race/ethnicity, housing demographics

https://climate-vulnerability.mapc.org

Social and Heat Vulnerability and Acute Care Use

- Identified 14,401 individuals with a rheumatic condition (systemic disease, crystalline arthritis, OA) who received care at MGB 4/18-4/21
- Geocoded addresses and linked at the census tract to SVI and HVI
- Used multilevel, multinomial logistic regression models to examine the odds of 1-3 and >4 hospitalizations (ref=0) over two years post index date separately by vulnerability index, adjusting for age, gender, race/ethnicity, insurance, and comorbidities

SVI



Santacroce et al. Arthritis Care Res 2023

ΗVΙ



Santacroce et al. Arthritis Care Res 2023

SVI and HVI and Recurrent Hospitalizations

- The mean age was 61.9 (SD 15.7) years, 70% female, 79% White, 7% Black, and 2% Hispanic
- 18.8% lived in the highest SVI areas, 11.7% in the highest HVI areas; 11% in both
- Accounting for individual-level factors, individuals living in the highest vs. lowest SVI areas had 1.84 times higher odds (95% CI 1.43-2.36) of <u>></u>4 hospitalizations
- Individuals living in the highest vs. lowest HVI areas had 1.64 times greater odds (95% CI 1.17-2.31) of <u>></u>4 hospitalizations
- Black race, male gender, public insurance, and greater comorbidities were associated with recurrent hospitalizations
- Significant associations were seen between area heat and social vulnerability and recurrent hospitalizations among individuals with systemic rheumatic conditions and crystalline arthritis

Drivers of Inequities: Access to High Quality Care

 Differences in access to sustained, high-quality health care, medications, preventive care, mental healthcare, research studies, technology, diverse providers



Jones CP et al. J Health Care Poor and Underserved 20 (2009):1-12

Inequities in Acute Care Use in SLE

- 40,381 SLE patients enrolled in Medicaid (2000-2010) from 29 states
- Examined patterns of ED visits and hospitalizations
- Persistently high use associated with higher mortality, more severe SLE, Black race, chronic pain, depression and cardiovascular disease
- Male sex, older age and hydroxychloroquine use associated with less frequent acute care use



Access to Hydroxychloroquine (HCQ)

- 9,560 Medicaid beneficiaries with incident SLE
 - 41% received HCQ (or chloroquine) within 12 months of diagnosis
 - 72% received glucocorticoids during this time
- All racial/ethnic groups more likely than White individuals to receive HCQ
- Younger patients (18-25) 2.3 times more likely
- Alcohol use disorder, opioid use, diabetes, end-stage renal disease and smoking associated with a lower rate of dispensing
- Receiving 1-2 preventive care services associated with 29% higher rate of receipt; <u>></u>2 services with a 46% higher rate
- More outpatient visits associated with higher rate of receipt; more hospitalizations with lower rate

Vaccine-Preventable Acute Care Use

- Extremely low documentation of vaccination over a 12-month period (N=46,075)
 - ~10% of the Medicaid SLE population with any vaccination
- Incidence rate of acute care use for vaccine-preventable illness was 6.8 per 1000 person-years
 - 93% of events occurred in patients without documented vaccinations
- In adjusted models, higher risk of acute care use for vaccinepreventable illnesses among Black patients, in the Midwest and South and among patients with more baseline ED visits and hospitalizations
- More outpatient visits associated with >30% reduced risk of acute care use for vaccine-preventable illnesses

Inequities in SLE Care Quality in Medicaid

- Poor quality of care for those with incident lupus nephritis
 - Only 21.9% received immunosuppressives, 44% ACE-I/ARBs, and 36% antimalarials
- Higher quality of care in Northeast
- Fewer patients seen in the ED receiving immunosuppressives



Inequities in Steroid Use (N=6,323)





- Adjusted multinomial models with higher odds of belonging to the highest vs. lowest steroid trajectory for Black (OR 2.06, 95% CI 1.64-2.60), Hispanic (OR 1.82, 95% CI 1.38-2.40), and Asian (OR 2.40, 95% CI 1.52-3.80) vs. White individuals
- >5 outpatient visits during the baseline period associated with lower odds of being in the persistently high-dose steroid trajectory (OR 0.78; 95% CI 0.61-1.00)

Racial Differences in Steroid Use

- Racial differences persisted despite multiple different adjustments for markers of SLE disease severity
- Black individuals had the lowest utilization of baseline immunosuppressive medications and while use increased in the persistently high dose steroid trajectory for White, Asian and Hispanic individuals, it decreased for Black individuals (role of nonadherence vs. under-prescribing)
- Black and Hispanic individuals had less outpatient utilization compared to White individuals and this was a significant predictor of persistent high dose steroid use
- Currently no studies with diverse enrollment to guide standard-of-care steroid tapering regimens

Lack of racial/ethnic diversity in SLE trials



- Individuals of African descent significantly underrepresented in SLE clinical trials
- Why?
 - Lack of trust from historical injustices and ongoing discrimination
 - Structural racism
 - Barriers from inclusion/exclusion criteria
 - Unconscious bias
 - Lack of diversity among providers and researchers
 - Lack of culturally relevant recruitment and consent materials

Falasinnu et al. Curr Rheumatol Rep. 2018 Sneed et al. Arthritis Care Res 2021

Drivers of Inequities: Health Behaviors & Biology

 Differences in health behaviors (e.g., medication adherence) that result from the perpetuation of societal structures and disproportionate burden of exposures, social risk factors and suboptimal care access and quality, and **biological factors** that may place certain populations at greater risk, particularly in the setting of recurrent adverse exposures across the life course



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Integrated Care Management Program (iCMP)

- Started in 2006 enrolls the top 2% of medically and psychosocially complex ("high-risk") patients across MGB with the goal of reducing avoidable, recurrent acute care use and costs
- Uses an algorithm that factors in age, comorbidities, acute care utilization and direct referrals from primary care physicians
- Matches patients with a nurse care coordinator in primary care
- Nurse conducts needs assessments and matches patients with resources, provides appointment reminders, triages urgent issues

Lupus Patients in iCMP

- Access to linked EHR-claims data from 2011-2019
- Applied EHR-based algorithm (PPV 90%) to identify SLE patients
- N=69; 67 with linked claims
 - 55% White, 25% Black, 16% other, 3% Asian, 21% Hispanic
 - 20 with Commercial insurance, 59 Medicare, 4 Medicaid, 16 with <a>>1
- Duration of iCMP enrollment was about 46 months (SD 29)

Social Determinants in High-Risk Lupus Patients (N=69)



iCMP and Acute Care Use Reduction (N=67)

- Lupus patients enrolled in iCMP with linked EMR-claims data (2012-2019)
- ED visits: 0.14/person-month during iCMP vs.
 0.20/person-month pre-iCMP (IRR 0.66, 95% CI 0.48-0.92, p=0.01)
- Outpatient no-shows: 0.09/person-month during iCMP vs. 0.14/person-month pre-iCMP (IRR 0.74, 95% CI 0.57-0.97, p=0.03)
- Adjusted analyses (age, sex, race, ethnicity, number of comorbidities, year, and clustering by patient) showed a 37% reduction in the rate of ED visits during iCMP vs. pre-iCMP (p=0.003)

Figure. Incidence Rates of Medical Care Utilization and Oupatient Appointment No-Shows Among High-Risk Patients with SLE Pre-iCMP vs During iCMP



iCMP and Acute Care Use Reduction

- iCMP enrollment was associated with:
 - Decreased rate of ED visits for primary diagnosis of musculoskeletal disorder (p=0.008)
 - No difference in rate of hospitalizations or ED visits for primary or secondary diagnosis of SLE
- Associated with reduced rates of avoidable ED visits (IRR 0.50, 95% CI 0.28-0.88), and avoidable hospitalizations (IRR 0.37, 95% CI 0.21-0.65) for AHRQ and SLE-specific conditions

SDoH in Inflammatory Arthritis

- A two-part project across MGB rheumatology clinics
- **Part 1:** To <u>develop infrastructure</u> to systematically collect, document and address SDoH for individuals with inflammatory arthritis receiving rheumatology care at MGB
- **Part 2:** An <u>adaptive RCT</u> testing different strategies to 1) address SDOH needs, 2) reduce appointment no shows, 3) reduce acute care use, 4) improve patient satisfaction [*Enrolling*]
- Ultimate goal: To advocate for payer-blind, rheumatology-based resources to systematically collect and address these needs

SDOH Screening



Transportation



Unemployment

Education



Child or family care



Food



Housing

Paying for utilities

Paying for medications



Elder or disabled care

This form gives us more information about you and your family. Your answers will help us put more support services in place in the future.

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2	language / developing job or	technical skills /					
	developing parenting skills?						
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□ [©] Education □ [™] Childcare			☐ [™] Care for elder or disabled				
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help you with any of the following:							
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Page 1 of 1 SDOH-01-04192021							

SDoH Screening

- 10,500 MGB rheumatology patients completed the screening since launch in June 2022
- 17% have indicated at least one SDoH need
- 670 individuals asked for additional information
- 72% of rheumatologists have referred at least 1 patient to the team for assistance
- Screening added <3 minutes to the workflow at check-in
- Remaining challenges include lack of social work support, mental health resources, inperson interpreters and patient assistance funds to offset medication costs



Individuals Reached by rCRS

SDoH Need	# Reached by	Examples of Categories of Services Provided by rCRS
Food insecurity	81	 Determined eligibility for state and federal programs Assisted with SNAP and patient assistance program applications Assisted with packaged meal delivery application to community-based organization Connected patients with local food pantries
Difficulty paying utility bills	76	 Facilitated access to utility payment assistance programs Reviewed finances and monthly bills to plan payments
Difficulty paying for medications	72	 Connected patients with organizations for copayment assistance Connected patients with multihospital-based specialty pharmacy program staff members Connected patients with pharmaceutical company patient assistance programs when indicated Aided in determining whether certain over the counter medications could be more affordable
Transportation needs	49	 Assisted with PT-1 applications Connected patients with local public transportation ADA services Provided information on other transportation assistance programs
Housing instability	34	 Aided with CHAMP, RAFT and Section 8 housing applications Provided contact information for organizations offering volunteer legal services Obtained housing letters from rheumatologists and primary care providers
Unemployment	38	 Connected patients with local hiring offices in their respective towns and with job listing websites
Desire for more education	39	 Investigated training programs based on patients' needs and loan/payment assistant programs for these programs

Academic-Community Partnership: Lupus Conversations

Part I Aim: To develop and implement a communitybased, culturally-sensitive intervention to disseminate lupus-related education in Chicago- and Boston-based African American communities to promote early and sustained care-seeking behavior

Part II Aim: To leverage a community-academic partnership in Boston and Chicago to catalyze conversations and disseminate information about clinical trials among Black and African American communities



Health and Human Services Office of Minority Health

Lupus Foundation of America

Brigham and Women's Hospital

Academic

Northwestern University Feinberg School of Medicine

Improve Health



Reduce

Disparities

Sportsmen's Tennis & **Enrichment Center** Women of CCHERS, Inc.

Courage

Community

Trinity United Church of Christ

Illinois Public Health Association

Lupus Society of Illinois

ProVention/National Association of Chronic Disease Directors

Popular Opinion Leader (POL) Model



Part II: Lupus Trials Awareness

- Using Critical Race Theory framing, held focus groups to understand factors that influence clinical trial participation
 - Themes limiting participation included mistrust related to racism, concerns about placebo group assignment, strict study exclusion criteria and SLEspecific factors
 - Themes motivating participation included recommendations from physicians and reputable institutions, a desire to help the greater good and culturally sensitive marketing of trials
- This informed the POL training curriculum, including a module on racism and medical research

POL Enrollment and Training

- Recruited and trained 19 POLs in Boston, 17 in Chicago
- 89% female, 81% identified as Black of African American
- 69% with lupus
- Converted to entirely virtual platform
- Demonstrated statistically significant knowledge improvement in prepost tests for the modules
 - Significant improvement regarding conduct of clinical trials and history of racism in research

POL Dissemination

- 20 POLs (63%) reported dissemination
- 424 community members reached in Boston (90% virtually)
- 1,887 community members reached in Chicago (95% virtually)

Striving for Equity in Rheumatology

- Recognize the role of structural racism and social determinants of health in racial, ethnic and socioeconomic disparities in care utilization patterns and receipt of highquality care in rheumatology
- Highlight the importance of subspecialist awareness and subspecialty-based infrastructure to uncover and address these key factors that contribute to inequities in care and outcomes
- Appreciate that these inequities are avoidable and that hospital and community-based interventions that address the multilevel contributors are urgently needed



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National Institute of Arthritis and Musculoskeletal and Skin Diseases

(^{III}) Bristol Myers Squibb^{**}

Foundation